8170 33<sup>rd</sup> Avenue South P.O. Box 9463 Minneapolis, MN 55440-9463



Please complete the form, attach any additional information you want us to review, and return it in the enclosed envelope.

- Complete Section I of the Complaint Form.
- If your request is a beyond the 60 day Medicare timeframe, complete Section II.
- Sign and date the Complaint Form (Section III).
- If you are a family member, friend or advocate of the member, please complete the enclosed Appointment of Representative form. Or you may attach appropriate legal documentation (example: Durable Power of Attorney). The Appointment of Representative form contains sections for both you and the member to complete. Please call us if you have any questions about this form. If you have already completed the Appointment of Representative form within the past year, you do not need to complete it again.
- Please contact Member Services if you are unable to complete the form yourself.

We'll send confirmation within ten business days of receiving your complaint. If you have any questions about these procedures, please call Member Services or consult your Evidence of Coverage.

For concerns regarding the quality of care you received, you may also file a complaint with the local Quality Improvement Organization (QIO):

#### Minnesota & Wisconsin

Livanta BFCC-QIO Program

Phone: 866-815-5440

TTY: 866-868-2289

If you reside in a different state, please look in your Evidence of Coverage or call Member Services for the address and phone number of your local QIO.

Time Frames	1	1 -
Your situation	Your deadline for submitting a complaint	<b>Our deadline</b> for reviewing your request and responding to you
You are appealing a coverage decision that we made for a Medicare Part A, Part B or C	on that we made for a are Part A, Part B or C al service (this is called andate on the written notice we sent (or explain why you missed the deadline – called 'good cause') –	Standard: within 30 calendar days of receiving your appeal
medical service (this is called an appeal)		Fast: within 72 hours or receiving your appeal if you haven't had the service yet
You are appealing a coverage decision that we made for a Medicare Part B or Part D <b>prescription drug</b> (this is called	Within 60 calendar days from the date on the written notice we sent (or explain why you missed the deadline – called 'good cause') –	Standard: within 7 days of receiving your appeal if you haven't yet bought or received the drug (this is called pre-service)
an <b>appeal</b> )	see Section II	Part B and Part D prescription drug: Fast: within 72 hours of receiving your appeal if you haven't received the drug yet (this is called pre-service)
		Part B prescription drug: Standard: within 30 calendar days of receiving your appeal if you have already bought or received the drug (this is called post-service)
		Part D prescription drug: Standard: within 14 calendar days of receiving your appeal if you have already bought or received the drug (this is called post-service)
You are making a complaint about anything other than our decision	anything other than our decision date that you had the problem (or	Standard: within 30 calendar days of receiving your grievance
related to benefits, coverage or payment (this is called a grievance)explain why you missed the deadline – called 'good cause') – see Section II	Fast: within 24 hours if we denied your request for a fast appeal – we will do this automatically	

If you have questions, we're here to help. Call us at ADMIN\_INFO\_PHONE\_FULL.

If you use a TTY, please call 711.

From Oct. 1 through March 31, we take calls from 8 a.m. to 8 p.m. CT, seven days a week. You'll speak with a representative.

From April 1 to Sept. 30, call us 8 a.m. to 8 p.m. CT Monday through Friday to speak with a representative. On Saturdays, Sundays and Federal holidays, you can leave a message and we'll get back to you within one business day.

Sincerely,

**Time Frames** 

USER\_SIGNATURE Member Services

Enclosure:HealthPartners Complaint Review Form; Appointment of Representative FormY0095 S1822\_119016\_C IR 01/2020Complaint Form



8170 33<sup>rd</sup> Avenue South P.O. Box 9463 Minneapolis, MN 55440-9463

# HealthPartners Complaint Review Form

Member Full Name

Member ID Number

Member Address

Member Date of Birth

Member Phone Number

I. Please explain your issue or concern in detail. You may attach more pages if needed.

How can we resolve this problem?

**II.** Good Cause for missing the deadline for filing your complaint. Examples of good cause for missing the deadline may include if you had a serious illness that prevented you from contacting us or if we provided you with incorrect or incomplete information about the deadline.

If your request is beyond the 60 day time period, please explain the reason for the delay.

Y0095 S1822\_119016\_C IR 01/2020

**Complaint Form** 

#### III. Please review and check one of the following boxes:

I hereby authorize HealthPartners to fully investigate this complaint in accordance with State and Federal guidelines. To facilitate this investigation, I hereby authorize the release of all information relating to this complaint (including all applicable billing and medical records). I understand that in the course of the review, HealthPartners may contact the providers involved to help resolve my complaint. This authorization is valid from the date signed until this complaint is fully resolved.

I agree to allow HealthPartners to share my complaint with the providers involved.

I <u>do not</u> want HealthPartners to share my complaint with the providers involved. I understand this may make it harder for HealthPartners to resolve this complaint.

Member or Representative Signature

Date

(If someone other than the member is signing, please complete and return the enclosed Appointment of Representative form or other legal documentation)

If you have questions, we're here to help. Call us at ADMIN\_INFO\_PHONE\_FULL.

If you use a TTY, please call 711.

From Oct. 1 through March 31, we take calls from 8 a.m. to 8 p.m. CT, seven days a week. You'll speak with a representative.

From April 1 to Sept. 30, call us 8 a.m. to 8 p.m. CT Monday through Friday to speak with a representative. On Saturdays, Sundays and Federal holidays, you can leave a message and we'll get back to you within one business day.

Please mail this form in the enclosed envelope or send it to us at: HealthPartners Member Rights & Benefits P.O. Box 9463 Mail Stop 21103R Minneapolis, MN 55440-9463 Fax: 952-853-8742

### **Appointment of Representative**

or National Provider Identifier Number

#### **SECTION 1: Appointment of Representative**

#### To be completed by the party seeking representation (i.e., the Medicare beneficiary, the provider or the supplier):

I appoint the individual named in Section 2 to act as my representative in connection with my claim or asserted right under Title XVIII of the Social Security Act (the "Act") and related provisions of Title XI of the Act. I authorize this individual to make any request; to present or to elicit evidence; to obtain appeals information; and to receive any notice in connection with my claim, appeal, grievance or request wholly in my stead. I understand that personal medical information related to my request may be disclosed to the representative indicated below.

Signature of Party Seeking Representation		Date
Street Address		Phone Number (with Area Code)
City	State	Zip Code
Email Address (optional)		

#### Section 2: Acceptance of Appointment

#### To be completed by the representative:

I, \_\_\_\_\_\_, hereby accept the above appointment. I certify that I have not been disqualified, suspended, or prohibited from practice before the Department of Health and Human Services (DHHS); that I am not, as a current or former employee of the United States, disqualified from acting as the party's representative; and that I recognize that any fee may be subject to review and approval by the Secretary. I am a / an

(Professional status or relationship to the party, e.g. attorney, relative, etc.)			
Signature of Representative		Date	
Street Address		Phone Number (with Area Code)	
City	State	Zip	
Email Address (optional)	Fax Number (optional)		

#### Section 3: Waiver of Fee for Representation

# Instructions: This section must be completed if the representative is required to, or chooses to waive their fee for representation. (Note that providers or suppliers that are representing a beneficiary and furnished the items or services may not charge a fee for representation and **must** complete this section.)

I waive my right to charge and collect a fee for representing	before the Secretary of HHS.
Signature	Date

#### Section 4: Waiver of Payment for Items or Services at Issue

Instructions: Providers or suppliers serving as a representative for a beneficiary to whom they provided items or services must complete this section if the appeal involves a question of liability under section 1879(a)(2) of the Act. (Section 1879(a)(2) generally addresses whether a provider/supplier or beneficiary did not know, or could not reasonably be expected to know, that the items or services at issue would not be covered by Medicare.) i waive my right to collect payment from the beneficiary for the items or services at issue in this appeal if a determination of liability under §1879(a)(2) of the Act is at issue.

Signature

Date

Form CMS-1696 (Rev 09/21)

#### INSTRUCTIONS AND REGULATION REQUIREMENTS

#### Instructions

Name of Party (required): This is the name of the person or entity which has standing to file a claim or appeal (the name of the person who has Medicare, or the name of the provider or supplier). Medicare Number or National Provider Identifier (required): This must be completed when the person or entity appointing a representative has a Medicare number or National Provider Identifier. If not applicable, fill in, "not applicable". All fields in Sections 1 and 2 are required unless noted as optional within the field. See the regulation at 42 CFR 405.910.

#### Charging of Fees for Representing Beneficiaries before the Secretary of DHHS

An attorney, or other representative for a beneficiary, who wishes to charge a fee for services rendered in connection with an appeal before the Secretary of HHS (i.e., an Administrative Law Judge (ALJ) hearing or attorney adjudicator review by the Office of Medicare Hearings and Appeals (OMHA), Medicare Appeals Council review, or a proceeding before OMHA or the Medicare Appeals Council as a result of a remand from federal district court) is required to obtain approval of the fee in accordance with 42 CFR 405.910(f). The form, OMHA-118, "Petition to Obtain Approval of a Fee for Representing a Beneficiary" elicits the information required for a fee petition. It should be completed by the representative and filed with the request for ALJ hearing, OMHA review, or request for Medicare Appeals Council review. Approval of a representative's fee is not required if: (1) the appellant being represented is a provider or supplier; (2) the fee is for services rendered in an official capacity such as that of legal guardian, committee, or similar court appointed representative and the court has approved the fee in question; (3) the fee is for representation of a beneficiary in a proceeding in federal district court; or (4) the fee is for representation of a beneficiary in a redetermination or reconsideration. If the representative wishes to waive a fee, he or she may do so. The form, OMHA-118, may be found at: https://www.hhs.gov/sites/default/files/OMHA-118.pdf

#### **Approval of Fee**

The requirement for the approval of fees ensures that a representative will receive fair value for the services performed before HHS on behalf of a beneficiary, and provides the beneficiary with a measure of security that the fees are determined to be reasonable. In approving a requested fee, OMHA or Medicare Appeals Council will consider the nature and type of services rendered, the complexity of the case, the level of skill and competence required in rendition of the services, the amount of time spent on the case, the results achieved, the level of administrative review to which the representative carried the appeal and the amount of the fee requested by the representative.

#### **Conflict of Interest**

Sections 203, 205 and 207 of Title XVIII of the United States Code make it a criminal offense for certain officers, employees and former officers and employees of the United States to render certain services in matters affecting the Government or to aid or assist in the prosecution of claims against the United States. Individuals with a conflict of interest are excluded from being representatives of beneficiaries before HHS.

#### Where to Send This Form

Send this form to the same location where you are sending (or have already sent) your: appeal if you are filing an appeal, grievance or complaint if you are filing a grievance or complaint, or an initial determination or decision if you are requesting an initial determination or decision. If additional help is needed, contact 1-800-MEDICARE (1-800-633-4227, TTY users call 1-877-486-2048), or your Medicare plan. You have the right to get Medicare information in an accessible format, like large print, Braille, or audio. You also have the right to file a complaint if you feel you've been discriminated against. Visit https://www.medicare.gov/about-us/accessibilitynondiscrimination-notice, or call 1-800-MEDICARE (1-800-633-4227) for more information. TTY users can call 1-877-486-2048.

According to the Paperwork reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-0950. the time required to prepare and distribute this collection is 15 minutes per notice, including the time to select the preprinted form, complete it and deliver it to the beneficiary. If you have comments concerning the accuracy of the time estimates or suggestions for improving this form, please write to CMS, PRA Clearance officer, 7500 Security Boulevard, Baltimore, Maryland 21244-1850.

Form CMS-1696 (Rev 09/21)



## **Statement of Nondiscrimination for Health Plan Members**

#### **Our Responsibilities:**

We follow Federal civil rights laws. We do not discriminate on the basis of race, color, national origin, age, disability or sex. We do not exclude people or treat them differently because of their race, color, national origin, age, disability or sex, including gender identity.

- We help people with disabilities to communicate with us. This help is free. It includes:
  - Qualified sign language interpreters
  - Written information in other formats, such as large print, audio and accessible electronic formats
- We provide services for people who do not speak English or who are not comfortable speaking English. These services are free. They include:
  - Qualified interpreters
  - Information written in other languages

#### For Language or Communication Help:

Call 1-800-233-9645 if you need language or other communication help. (TTY: 711)

# If you have questions about our non-discrimination policy:

Contact the Civil Rights Coordinator at 1-844-363-8732 or integrityandcompliance@healthpartners.com.

#### To File a Grievance:

If you believe that we have not provided these services or have discriminated against you because of your race, color, national origin, age, disability or sex, you can file a grievance by contacting the Civil Rights Coordinator at 1-844-363-8732, integrityandcompliance@ healthpartners.com or Civil Rights Coordinator, Office of Integrity and Compliance, MS 21103K, 8170 33rd Ave. S., Bloomington, MN 55425.

You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights, electronically through the Office for Civil Rights Complaint Portal, available at https://ocrportal. hhs.gov/ocr/portal/lobby.jsf, or by mail or phone at:

U.S. Department of Health and Human Services Room 509F, HHH Building 200 Independence Avenue SW, Washington, DC 20201 1-800-368-1019, 800-537-7697 (TDD)

Español (Spanish) ATENCIÓN: si habla español, tiene a su disposición servicios gratuitos de asistencia lingüística. Llame al 1-800-233-9645. (TTY: 711)	ພາສາລາວ (Laotian) ໂປດຊາບ: ຖ້າວ່າ ທ່ານເວົ້າພາສາ ລາວ, ການບໍລິການຊ່ວຍເຫຼືອດ້ານພາສາ, ໂດຍບໍ່ເສັງຄ່າ, ແມ່ນມີພ້ອມໃຫ້ທ່ານ. ໂທຣ 1-800-233-9645. (TTY: 711)
Hmoob ( <i>Hmong</i> ) LUS CEEV: Yog tias koj hais lus Hmoob, cov kev pab txog lus, muaj kev pab dawb rau koj. Hu rau 1-800-233-9645. (TTY: 711)	Deutsch <i>(German)</i> ACHTUNG: Wenn Sie Deutsch sprechen, stehen Ihnen kostenlos sprachliche Hilfsdienstleistungen zur Verfügung. Rufnummer: 1-800-883-233-9645. (TTY: 711)
Tiếng Việt ( <i>Vietnamese)</i> CHÚ Ý: Nếu bạn nói Tiếng Việt, có các dịch vụ hỗ trợ ngôn ngữ miễn phí dành cho bạn. Gọi số 1-800-233-9645. (TTY: 711)	(Arabic) العربية ملحوظة: إذا كنت تتحدث اذكر اللغة، فإن خدمات المساعدة اللغوية تتوافر لك بالمجان. اتصل برقم 9645-233-800-1(رقم هاتف الصم والبكم: 711
繁體中文 (Chinese) 注意:如果您使用繁體中文,您可以免費獲得語言援助服務。 請致電 1-800-233-9645. (TTY: 711)	Français <i>(French)</i> ATTENTION: Si vous parlez français, des services d'aide linguistique vous sont proposés gratuitement. Appelez le 1-800-233-9645. (ATS: 711)
Русский (Russian) ВНИМАНИЕ: Если вы говорите на русском языке, то вам доступны бесплатные услуги перевода. Звоните 1-800-233-9645. (телетайп: 711)	한국어 (Korean) 주의: 한국어를 사용하시는 경우, 언어 지원 서비스를 무료로 이용하실 수 있습니다. 1-800-233-9645. (TTY: 711)
Af Soomaali (Somali) OGAYSIIS: Haddii aad ku hadasho afka soomaaliga, Waxaa kuu diyaar ah caawimaad xagga luqadda ah oo bilaash ah. Fadlan soo wac 1-800-233-9645. (TTY: 711) Page10f2 Y0095 S1822_118306_C IR 08/2019; Supp_1182	Tagalog (Tagalog)PAUNAWA: Kung nagsasalita ka ng Tagalog, maaarikang gumamit ng mga serbisyo ng tulong sa wika nangwalang bayad. Tumawag sa 1-800-233-9645. (TTY: 711)306 IR 08/2019Additional languages listed on page 2

Oromiffa ( <i>Cushite [Oromo]</i> )	Italiano <i>(Italian)</i>
XIYYEEFFANNAA: Afaan dubbattu Oromiffa, tajaajila	ATTENZIONE: In caso la lingua parlata sia l'italiano,
gargaarsa afaanii, kanfaltiidhaan ala, ni argama. Bilbilaa	sono disponibili servizi di assistenza linguistica gratuiti.
1-800-233-9645. (TTY: 711)	Chiamare il numero 1-800-233-9645. (TTY: 711)
አማርኛ (Amharic) ማስታወሻ: የሚናንሩት ቋንቋ ኣማርኛ ከሆነ የትርጉም እርዳታ ድርጅቶች፣ በነጻ ሊያባዝዎት ተዘጋጀተዋል፣ ወደ ሚከተለው ቁጥር ይደውሉ 1-800-233-9645.(መስማት ለተሳናቸው:711)	ภาษาไทย <i>(Thai)</i> เรียน: ถ้าคุณพูดภาษาไทยคุณสามารถใช้บริการช่วยเหลือทางภาษาได้ฟรี โทร 1-800-233-9645. (TTY: 711)
unD ( <i>Karen)</i>	ελληνικά (Greek)
ບ <b>ົວသူဉ်ဟົသး–</b> နမ့ໂကတိၤ ကညီ ကျိဉ်အဃိ, နမၤန့ၢ် ကျိဉ်အတၢိမၤစၢၤလၢ	ΠΡΟΣΟΧΗ: Αν μιλάτε ελληνικά, στη διάθεσή σας
တလၢဝ်ဘူဉ်လၢာ်စ္၊ နီတမံးဘဉ်သွန္ဉာ်လီး. ကိး 1-800-233-9645.	βρίσκονται υπηρεσίες γλωσσικής υποστήριξης, οι οποίες
(TTY: 711)	παρέχονται δωρεάν. Καλέστε 1-800-233-9645. (TTY: 711)
ខ្មែរ <i>(Mon-Khmer, Cambodian)</i>	Diné Bizaad ( <i>Navajo)</i>
ប្រយ័គ្ន៖ បើសិនជាអ្នកនិយ្នាយ ភាសាខ្មែរ សេវាង់នួយផ្នែកភ្នាសា	Díí baa akó nínízin: Díí saad bee yáníłti'go <b>Diné Bizaad</b> ,
ដោយមិនគិតឈ្នួល គឺអាចមានសំរាប់បំរើអ្នក។ ចូរ ទូរស័ព្ទ	saad bee áká'ánída'áwo'd <i>ęę</i> ', t'áá jiik'eh, éí ná hóló, kojį'
1-800-233-9645. (TTY: 711)	hódíílnih 1-800-233-9645. (TTY: 711)
Deitsch <i>(Pennsylvanian Dutch)</i>	Ikirundi <i>(Bantu – Kirundi)</i>
Wann du Deitsch schwetzscht, kannscht du mitaus Koschte	ICITONDERWA: Nimba uvuga Ikirundi, uzohabwa serivisi
ebber gricke, ass dihr helft mit die englisch Schprooch.	zo gufasha mu ndimi, ku buntu. Woterefona
Ruf selli Nummer uff: Call 1-800-233-9645. (TTY: 711)	1-800-233-9645. (TTY: 711)
Polski <i>(Polish)</i>	Kiswahili <i>(Swahili)</i>
UWAGA: Jeżeli mówisz po polsku, możesz skorzystać	KUMBUKA: Ikiwa unazungumza Kiswahili, unaweza
z bezpłatnej pomocy językowej. Zadzwoń pod numer	kupata, huduma za lugha, bila malipo. Piga simu
1-800-233-9645. (TTY: 711)	1-800-233-9645. (TTY: 711)
हिंदी (Hindi) ध्यान दें: यदि आप हिंदी बोलते हैं तो आपके लिए मुफ्त में भाषा सहायता सेवाएं उपलब्ध हैं। 1-800-233-9645. (TTY: 711)	日本語 (Japanese) 注意事項:日本語を話される場合、 無料の言語支援をご利用いただけます。1-800-233-964. (TTY:711)まで、お電話にてご連絡ください。
Shqip (Albanian)	नेपाली <i>(Nepali)</i>
KUJDES: Nëse flitni shqip, për ju ka në dispozicion	ध्यान दिनुहोस्: तपाईंले नेपाली बोल्नुहुन्छ भने तपाईंको निम्ति भाषा सहायता
shërbime të asistencës gjuhësore, pa pagesë. Telefononi	सवाहरू निःशुल्क रूपमा उपलब्ध छ । फोन
në 1-800-233-9645. (TTY: 711)	गर्नुहोस् 1-800-233-9645 (टिटिवाइः 711)
Srpsko-hrvatski <i>(Serbo-Croatian)</i>	Norsk <i>(Norwegian)</i>
OBAVJEŠTENJE: Ako govorite srpsko-hrvatski, usluge	MERK: Hvis du snakker norsk, er gratis
jezičke pomoći dostupne su vam besplatno. Nazovite	språkassistansetjenester tilgjengelige for deg. Ring
1-800-233-9645. (TTY: 711)	1-800-233-9645. (TTY: 711)
ગુજરાતી <i>(Gujarati)</i>	Adamawa <i>(Fulfulde, Sudanic)</i>
સુચના: જો તમે ગુજરાતી બોલતા હો, તો નિ:શુલ્ક ભાષા	MAANDO: To a waawi Adamawa, e woodi ballooji-ma to
સહ્રાય સેવાઓ તમારા માટે ઉપલબ્ધ છે. ફોન કરો	ekkitaaki wolde caahu. Noddu 1-800-233-9645.
1-800-233-9645.(TTY: 711)	(TTY: 711)
(Urdu) أردُو خبردار: اگر آپ اردو بولتے ہیں، تو آپ کو زبان کی مدد کی خدمات مفت میں دستیاب ہیں ۔ کال کریں 9645-233-800 (TTY: 711).	Українська (Ukranian) УВАГА! Якщо ви розмовляєте українською мовою, ви можете звернутися до безкоштовної служби мовної підтримки. Телефонуйте за номером 1-800-233-9645. (телетайп: 711)